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## PAPER

## Tribological and mechanical properties of copper matrix composites reinforced with carbon nanotube and alumina nanoparticles

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Keywords: copper matrix composites, carbon nanotube, alumina, friction, wear

**Abstract**

Copper is widely used as electrical contact materials due to its excellent thermal and electrical conductivity. However, low strength and poor wear resistance restrict its practical applications. Herein, we report a high-performance copper matrix composite reinforced with carbon nanotubes (CNT) and alumina (Al<sub>2</sub>O<sub>3</sub>) nanoparticles prepared by powder metallurgy route. The microstructure, density, hardness, tensile strength and tribological properties were studied. CNTs and Al<sub>2</sub>O<sub>3</sub> were successfully mixed with copper powders by acid treatment and mechanical milling. After sintering, CNTs and Al<sub>2</sub>O<sub>3</sub> were uniformly distributed around the grain boundaries and limited the grain growth. Furthermore, all copper matrix composites showed decreased density, but increased hardness and tensile strength compared with the copper matrix. More importantly, the incorporation of CNTs and Al<sub>2</sub>O<sub>3</sub> significantly improved the tribological properties of copper matrix. This is because Al<sub>2</sub>O<sub>3</sub> nanoparticles with high strength enhanced the wear resistance by dispersion strengthening, while CNTs served as solid lubricant greatly improving the anti-friction properties. Besides, the friction coefficient as well as wear rate increased with higher load and sliding speed. The Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite had the optimal hardness, tensile strength, anti-friction, and wear-resistance properties.

**1. Introduction**

Copper has been extensively used because of its high heat and electrical conductivity [1–3]. However, copper alloys have low strength and hardness, along with poor tribological properties, which limit their practical applications. They can be damaged by heavy loads or high sliding speeds in friction and wear processes [4–6]. Thus, the research and development of high-performance copper matrix composites are particularly important.

Nowadays, many researchers have tried to enhance the mechanical and tribological properties of copper matrix by adding secondary and/or tertiary phase particles. Ramesh *et al* investigated the mechanical properties and wear resistance of Cu-TiO<sub>2</sub>-boric acid hybrid composites. Results show that the composites have superior microhardness, tensile strength and lower wear rate compared with the copper matrix [7]. Chen *et al* fabricated copper matrix composites reinforced with copper-coated NbSe<sub>2</sub> and/or carbon nanotubes (CNTs) via a powder metallurgy route, and found that they exhibited high mechanical strength and improved wear resistance [8]. Sharma *et al* reported the fretting wear of copper-TiB<sub>2</sub> and/or Pb composites. The hard TiB<sub>2</sub> reinforcement enhanced the hardness and soft Pb phase served as a solid lubricant, significantly improving the wear-resistance [9]. Additionally, Fathy *et al* improved the compressive and tribological properties of copper matrix with the nano-sized Al<sub>2</sub>O<sub>3</sub> addition, and explored the Al<sub>2</sub>O<sub>3</sub> dispersion strengthening effects on hardness, compression strength and wear resistance [10].

Alumina is potentially attractive as reinforcement for copper matrix composites due to its good properties, such as high hardness, high strength, excellent thermodynamic stability and abundant resource [11–13].

However, the copper matrix composites strengthened by  $\text{Al}_2\text{O}_3$  nanoparticles are generally prepared by the internal oxidation method, which is complex and easily results in a non-homogeneous distribution of oxide particles [12, 14]. Thus, Chandrasekhar *et al* prepared Cu– $\text{Al}_2\text{O}_3$  composites by combining mechanical alloying and spark plasma sintering, resulting in a homogeneous distribution of  $\text{Al}_2\text{O}_3$  particles, and three-fold enhanced hardness and strength compared with the copper matrix [13, 15]. Although the mechanical properties were greatly enhanced, the tribological improvements of Cu– $\text{Al}_2\text{O}_3$  composites were limited because the hard phase can be easily dropped from the matrix and clogged between the surfaces during rubbing [7, 16]. In this case, carbon nanotubes have superior self-lubricating properties and can effectively improve the wear resistance of composites due to they contain graphite. It is an outstanding reinforcement for developing high wear-resistant copper matrix composites [17–21]. Huang *et al* measured the tribological properties of Cu-CNTs composites, which obviously increased with the incorporation of CNTs. They considered that the excellent friction-reducing and anti-wear properties, as well as the load-carrying capacity of CNTs, offer a good protection of the composites [22]. Therefore, CNTs are good candidates to further improve the tribological properties of copper matrix composites. However, there are not so many researchs on the CNTs and  $\text{Al}_2\text{O}_3$ -reinforced copper matrix composites mechanical and tribological properties.

Therefore, this work chooses  $\text{Al}_2\text{O}_3$  nanoparticles as strengthening phase and CNTs as solid lubricant, in order to improve the mechanical and tribological properties of copper matrix composites. The microstructure, density, hardness, tensile strength and tribological properties of the composites reinforced with CNTs and/or  $\text{Al}_2\text{O}_3$  were investigated. This work provides an effective strategy to fabricate high-property copper matrix wear-resistance composites.

## 2. Experimental details

### 2.1. Materials

Cu powders (99.8% purity, an average particle size of 20  $\mu\text{m}$ ) and  $\text{Al}_2\text{O}_3$  nanoparticles (99.5% purity, particle size range of 20–100 nm) provided by the Beijing Xing Rong Yuan Technology Co., Ltd were used as raw materials. Furthermore, the multi-wall CNTs (98% purity, 30–50 nm diameter and 5–10  $\mu\text{m}$  long) prepared by chemical vapor deposition (CVD) process were supplied by the Carbon Nano-material Technology Co., Ltd

### 2.2. Composite fabrication

The fabrication steps of Cu/CNTs/ $\text{Al}_2\text{O}_3$  composites are the same as our previous report [19]. Firstly, the original CNTs were pretreated by the mixture of  $\text{H}_2\text{SO}_4/\text{HNO}_3$  solution (volume ratio of 3:1) to prepare the purified CNTs. Secondly, the Cu/CNTs composite powders were prepared by mixing of the obtained CNTs,  $\text{Cu}(\text{CH}_3\text{COO})_2 \cdot \text{H}_2\text{O}$  and NaOH in deionized water, and then conducting reduction reaction at 280 °C for 2 h under  $\text{H}_2$  atmosphere. Thirdly, the Cu/ $\text{Al}_2\text{O}_3$  composite powders were fabricated by the high-energy vibrational mixing of Cu and  $\text{Al}_2\text{O}_3$  powders, in which the anhydrous ethanol act as milling medium. Fourthly, the prepared Cu/CNTs and Cu/ $\text{Al}_2\text{O}_3$  composite powders were mixed into the Cu/CNTs/ $\text{Al}_2\text{O}_3$  composite powders by low-energy planetary mill. Three kinds of composite powders were obtained (Cu-0.5 $\text{Al}_2\text{O}_3$ , Cu-1.5CNTs, and Cu-1.5CNTs-0.5 $\text{Al}_2\text{O}_3$ , all in mass ratios). Finally, the as-prepared composite powders were sintered by SPS process at 850 °C for 5 min. Furthermore, the pure Cu powders were sintered by the same way to fabricate a contrast sample. Cylindrical sintered samples were obtained with 30 mm in diameter and 5 mm in height.

### 2.3. Microstructure and mechanical properties characterization

The microstructure of the powders and as-sintered composites was characterized by field emission scanning electron microscope (FESEM, Quanta FEG 450, USA). The crystallinity and structural integrity of multi-wall CNTs were characterized by Raman spectroscopy (Renishaw inVia, UK). The experimental density of the copper and its composites was tested by Archimedes method. The Cu/CNTs interface structure was characterized by transmission electron microscopy (TEM, H-800, Japan). Vickers hardness were determined by microhardness tester (WOLPERT 430SVD, China) with a load of 100 g and loading time of 15 s. Tensile tests were carried out using an INSTRON 4206 apparatus under a strain rate of 0.002  $\text{s}^{-1}$  at room temperature. Three tests were conducted for each set of sample to guarantee the accuracy of data. After tensile tests, the fracture surfaces of the specimen was observed by FESEM.

### 2.4. Tribological properties

The tribological properties were characterized by WTM-2E controlled atmosphere friction and wear tester. All experiments were carried out in the air at  $55 \pm 5\%$  relative humidity and  $20 \pm 2$  °C temperature. The cylindrical specimen was sliding against a rotating  $\text{ZrO}_2$  ball. The counterpart of  $\text{ZrO}_2$  ball is 3 mm in diameter

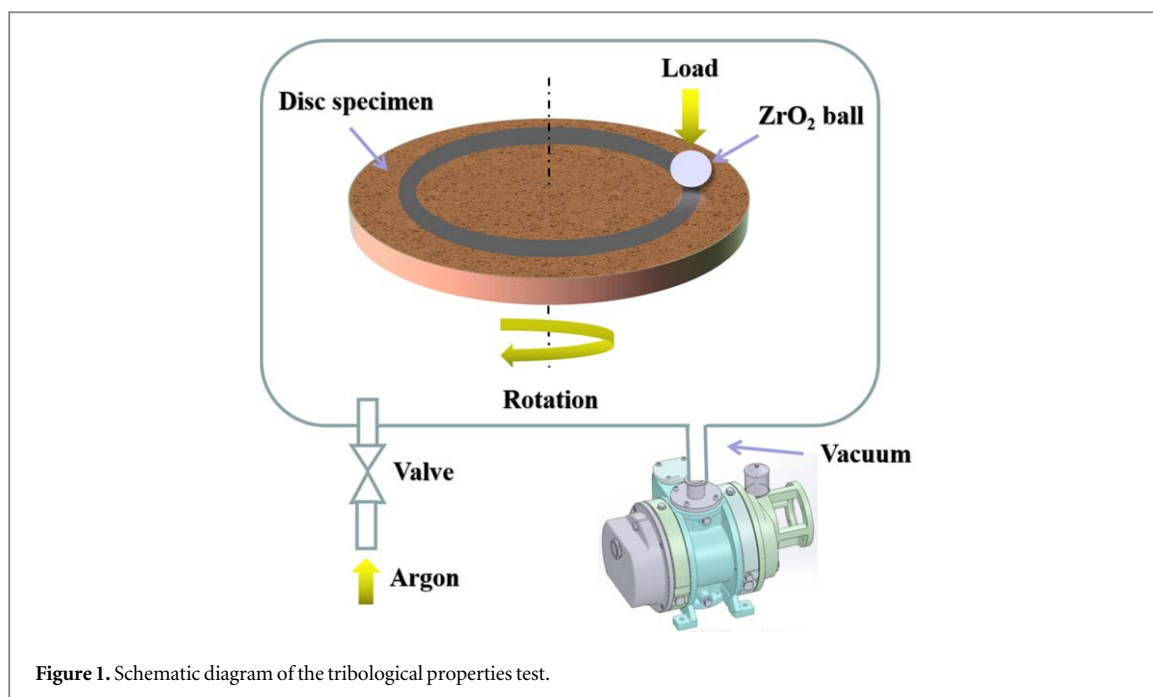


Figure 1. Schematic diagram of the tribological properties test.

and 76 HRC in hardness. Prior to testing, the disc specimen with 15 mm diameter and 5 mm height was polished with a 1000-grit polishing paper, and then cleaned with ethanol in an ultrasonic cleaner. Figure 1 shows the schematic diagram of the tribological tests, which was conducted under dry friction for 10 min, with the sliding speed of 200 rpm ( $0.063 \text{ m s}^{-1}$ ), 300 rpm ( $0.094 \text{ m s}^{-1}$ ), 400 rpm ( $0.126 \text{ m s}^{-1}$ ), and 500 rpm ( $0.157 \text{ m s}^{-1}$ ) and load of 2 N, 3 N, 4 N, and 5 N.

After tribological test, the wear loss was weighed by an analytical balance (0.0001 g resolution). The wear rate  $K$  was calculated as:

$$K = \frac{V}{P \cdot L} \quad (1)$$

Where  $V$  is the wear volume, which is computed from the weight of wear loss,  $P$  is load, and  $L$  is sliding distance. Three tests were conducted for each set of sample. The worn surfaces and wear tracks were determined by scanning electron microscope (SEM, LEO 1450, Germany). Energy dispersive x-ray spectroscopy (EDS) was used for the wear tracks phase composition analysis.

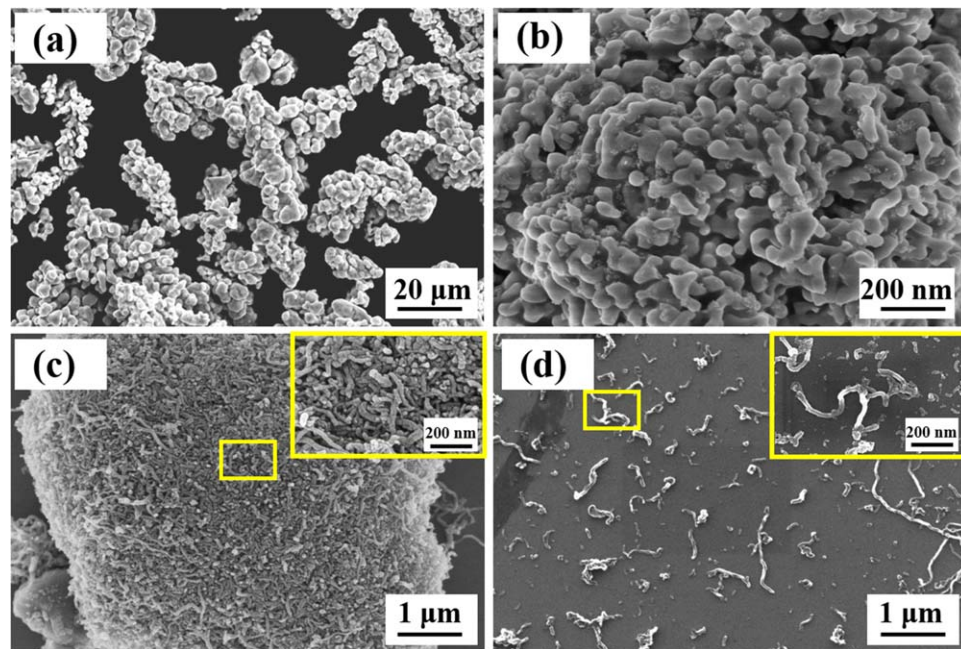
### 3. Results and discussion

#### 3.1. Microstructural characteristics

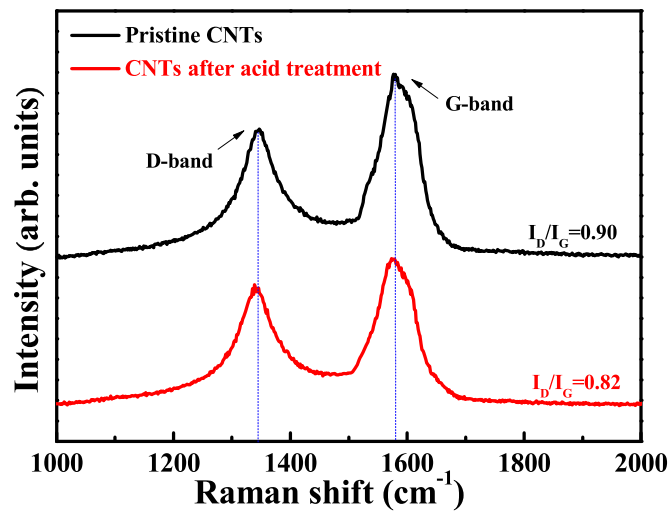
Figure 2 shows the morphology of the raw materials. The images reveal that Cu powders are composed of dendritic particles with a large specific surface area (figure 2(a)) and nano-Al<sub>2</sub>O<sub>3</sub> powders are nearly spherical (figure 2(b)). Pristine CNTs prepared by CVD way are seriously tangled together (figure 2(c)), which would increase the difficulty in subsequent homogeneous mixing. However, the CNTs aggregations were significantly improved after acid treatment. Figure 2(d) shows the pretreated multi-wall CNTs are mutually dispersed and their surfaces are relatively smooth.

The Raman spectra of pristine CNTs and CNTs after acid treatment are shown in figure 3. The D-band is associated with the disorder of graphite and G-band is related to the order of crystalline structure. The degree of structural defects as well as disorder in CNTs is usually analyzed via the intensity ratio of  $I_D/I_G$ . In comparison with pristine CNTs, the CNTs after acid treatment have slight decrease of  $I_D/I_G$  ratio. This indicates that CNTs have the lessened impurity and also retain the primitive structure after the dispersion process.

Figure 4 exhibits the microstructure characteristics of copper and its composites. The four samples are all compact and have a high density. In addition, there are some granular phases distributed at the grain boundaries for the Cu-0.5Al<sub>2</sub>O<sub>3</sub> composite (figure 4(b)) or fibrous phases for the Cu-1.5CNTs composite (figure 4(c)). EDS results indicate that the granular phases are Al<sub>2</sub>O<sub>3</sub> and fibrous phases are CNTs. In particular, for the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite, these Al<sub>2</sub>O<sub>3</sub> nanoparticles and CNTs are distributed uniformly around the grain boundaries, and the grain size is about 4  $\mu\text{m}$ , smaller than pure Cu. Moreover, figure 5 shows the CNTs is



**Figure 2.** SEM images of (a) pure Cu, (b) nano- $\text{Al}_2\text{O}_3$ , (c) pristine CNTs, (d) CNTs after acid treatment (Inset in figure 2c and d show the magnified image of the rectangular zone).



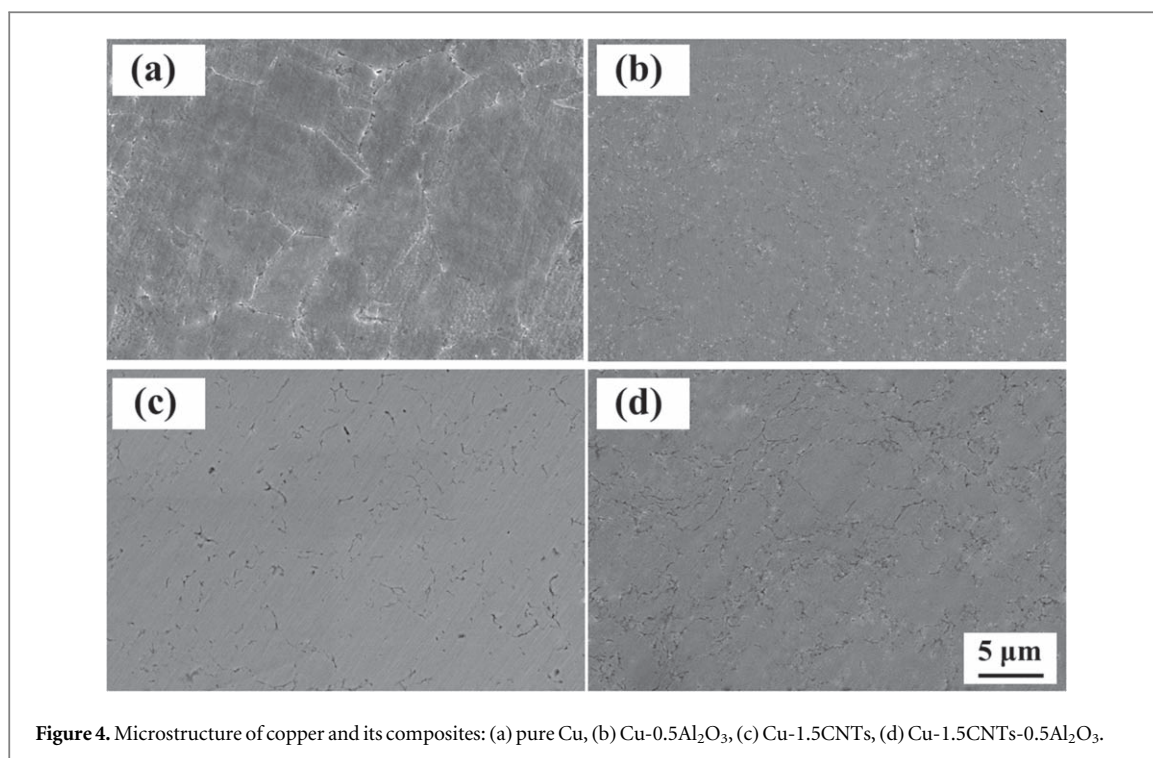
**Figure 3.** Raman spectra of the pristine CNTs and CNTs after acid treatment.

tightly attached to the copper matrix, and no apparent cracks or pores exist, indicating a strong interfacial adhesion for the Cu-1.5CNTs-0.5 $\text{Al}_2\text{O}_3$  composite.

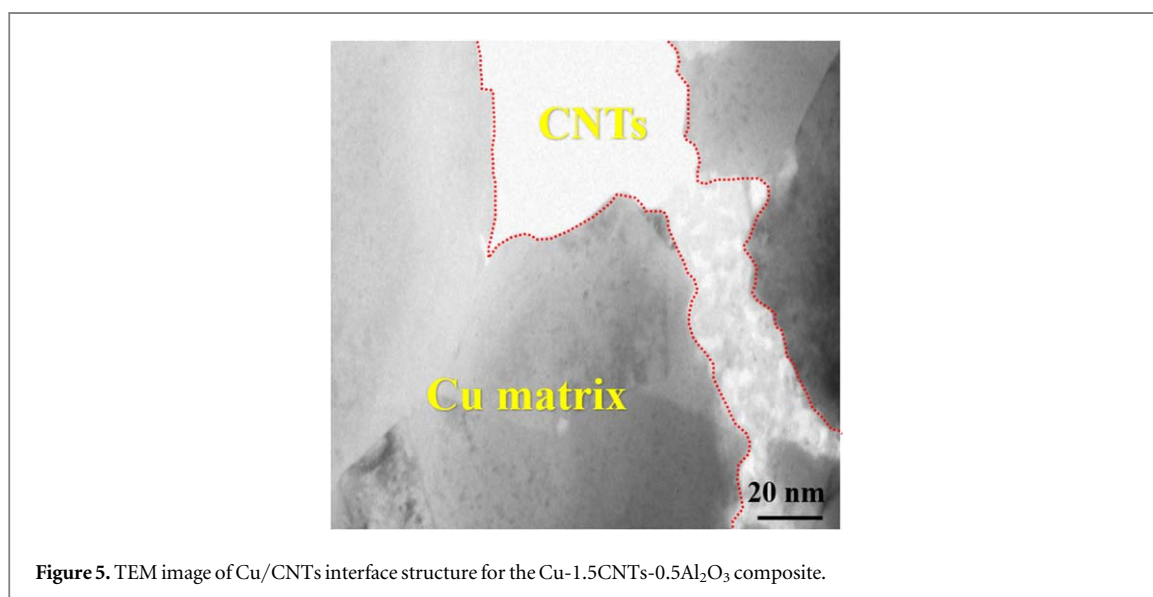
### 3.2. Mechanical properties

Table 1 exhibits the relative density, Vickers hardness and tensile test results of copper and its composites. The relative density of all composites is slightly lower than pure Cu because of the mismatch of thermal expansion coefficient for copper matrix,  $\text{Al}_2\text{O}_3$ , and CNTs [18]. However, every composite keeps a high density of larger than 97%. Additionally, the reinforcement addition effectively strengthens the Vickers hardness of the copper matrix, especially for the  $\text{Al}_2\text{O}_3$  particles. For the Cu-1.5CNTs-0.5 $\text{Al}_2\text{O}_3$  composite, the Vickers hardness can reach up to 131 HV, 81.9% higher than pure Cu. Besides, it can be found that the incorporation of CNTs and/or  $\text{Al}_2\text{O}_3$  greatly increases the tensile strength, while it decreases the elongation of the copper matrix. Among all copper matrix composites, the Cu-1.5CNTs-0.5 $\text{Al}_2\text{O}_3$  composite owns the highest ultimate tensile strength of 345 MPa.





**Figure 4.** Microstructure of copper and its composites: (a) pure Cu, (b) Cu-0.5Al<sub>2</sub>O<sub>3</sub>, (c) Cu-1.5CNTs, (d) Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub>.



**Figure 5.** TEM image of Cu/CNTs interface structure for the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite.

**Table 1.** Relative density, Vickers hardness and tensile properties of copper and its composites.

Sample composition, wt%	Relative density, % theoretical density	Vickers hardness, HV	Ultimate tensile strength, MPa	Elongation, %
Cu	99.6 ± 0.3	72 ± 5	199 ± 21	29.6 ± 2.4
Cu-0.5Al <sub>2</sub> O <sub>3</sub>	98.8 ± 0.2	115 ± 6	292 ± 20	11.5 ± 1.6
Cu-1.5CNTs	99.1 ± 0.4	96 ± 3	244 ± 16	10.1 ± 1.9
Cu-1.5CNTs-0.5Al <sub>2</sub> O <sub>3</sub>	97.8 ± 0.3	131 ± 5	345 ± 18	13.8 ± 2.1

Figure 6 shows the FESEM images of fracture surfaces of Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite after tensile testing. It can be seen that many dimples are shown in the fracture surfaces, consistent with its good plasticity. Moreover, Al<sub>2</sub>O<sub>3</sub> particles are evenly dispersed in the bottom of the fracture dimples (figure 6(a)). In the figure 6(b), some short CNTs are found to be pulled out on the fracture surface. This further confirms the strong interface in the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite, which can block the propagation of cracks.

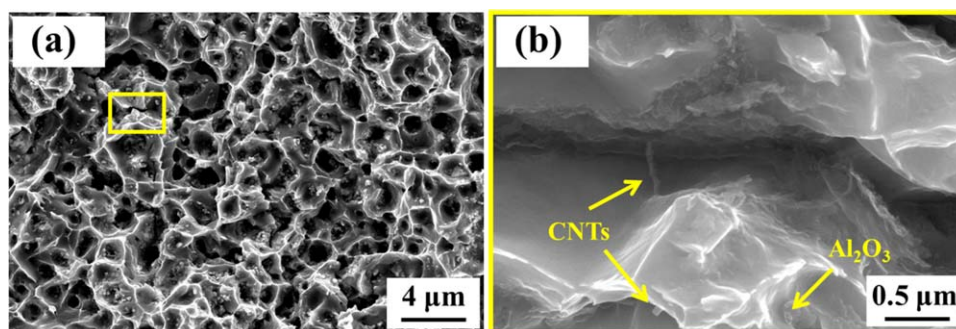


Figure 6. FESEM images of fracture surfaces of the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> after tensile testing.

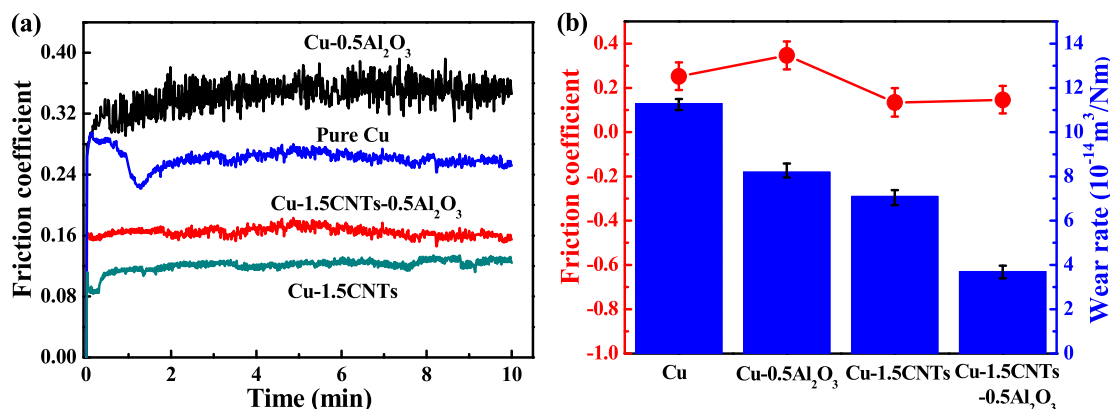


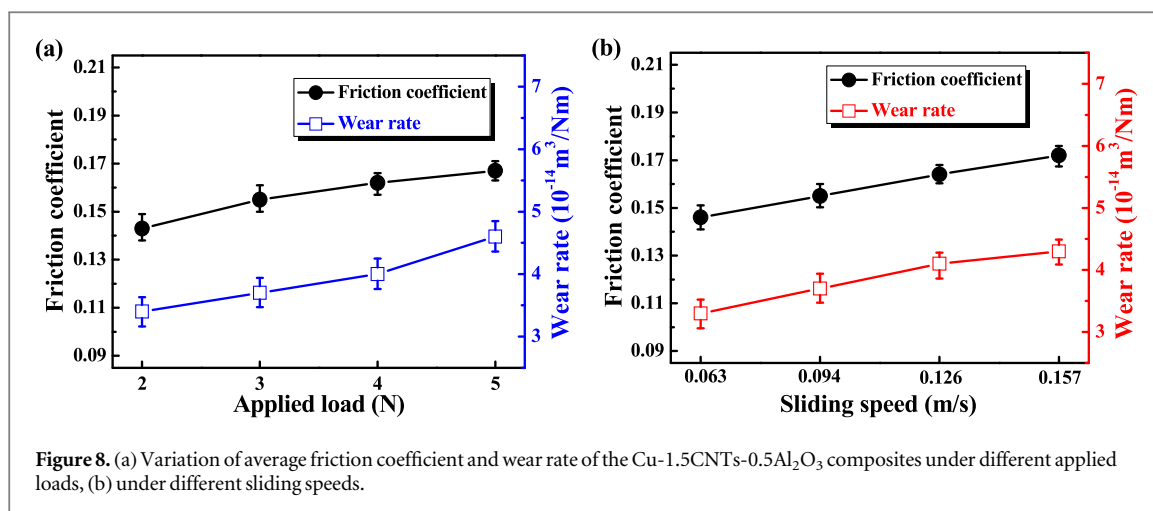
Figure 7. (a) Typical friction coefficient curves and (b) comparisons of corresponding average friction coefficient and wear rate of copper and its composites under constant condition (3 N, 0.094 m s<sup>-1</sup>, 10 min).

The strengthening mechanism of the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite can be summarized to be synergistic effects of the Orowan mechanism of Al<sub>2</sub>O<sub>3</sub> and load transfer of CNTs. Due to the high hardness and strength, Al<sub>2</sub>O<sub>3</sub> particles are hard to be cut off in the process of dislocation motion. Therefore, the dislocation migration is restricted by the dispersive Al<sub>2</sub>O<sub>3</sub> particles and form an effective dispersion strengthening effect. Besides, CNTs have superior mechanical properties and good interfacial bonding. When the composites are under high load, the stresses could be transferred to CNTs through interfacial shear stresses originating from the copper matrix. Thus, CNTs could effectively bear a part of load and improve the mechanical properties of the composites.

### 3.3. Friction and wear properties

Figure 7(a) presents variation of typical friction coefficient curves of copper and its composites under constant condition (3 N, 0.094 m s<sup>-1</sup>, 10 min). It is clear that the Al<sub>2</sub>O<sub>3</sub> addition in the copper matrix leads to an increased friction coefficient. The Cu-0.5Al<sub>2</sub>O<sub>3</sub> composite exhibits the highest friction coefficient and has higher volatility. This is due to the fact that harder Al<sub>2</sub>O<sub>3</sub> particles can protrude from the softer copper matrix, and then slide on the worn surfaces during rubbing, increasing the friction coefficient of the composites [5]. When adding CNTs, the friction coefficient of the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite consequently decreases and keeps at a stable level (~0.155). In addition, the friction coefficient curve of pure Cu initially displays a 'valley' shape and then tends to be steady at about 2.4 min, suggesting the running-in time is 2.4 min. However, the other copper matrix composites show shorter running-in time due to their high hardness and self-lubricating properties. Generally, the main friction and wear occur in the initial stage of test. Thus, due to the faster running-in process, the composites reinforced with CNTs and Al<sub>2</sub>O<sub>3</sub> have excellent anti-friction and wear-resistant properties.

The corresponding average friction coefficient and wear rate of copper and its composites are displayed in figure 7(b). The overall friction coefficient of composites varies in the range of 0.134–0.346. By comparison, the incorporation of CNTs decreases the friction coefficient from 0.252 for the pure Cu to 0.134 for the Cu-1.5CNTs composite. Nevertheless, the incorporation of Al<sub>2</sub>O<sub>3</sub> slightly increases the friction coefficient. Moreover, it is shown that the wear resistance of the copper matrix is strengthened by the Al<sub>2</sub>O<sub>3</sub> and CNTs addition, and the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite presents the lowest wear rate of  $3.7 \times 10^{-14} \text{ m}^3 \text{ N}^{-1} \cdot \text{m}^{-1}$ . According to the



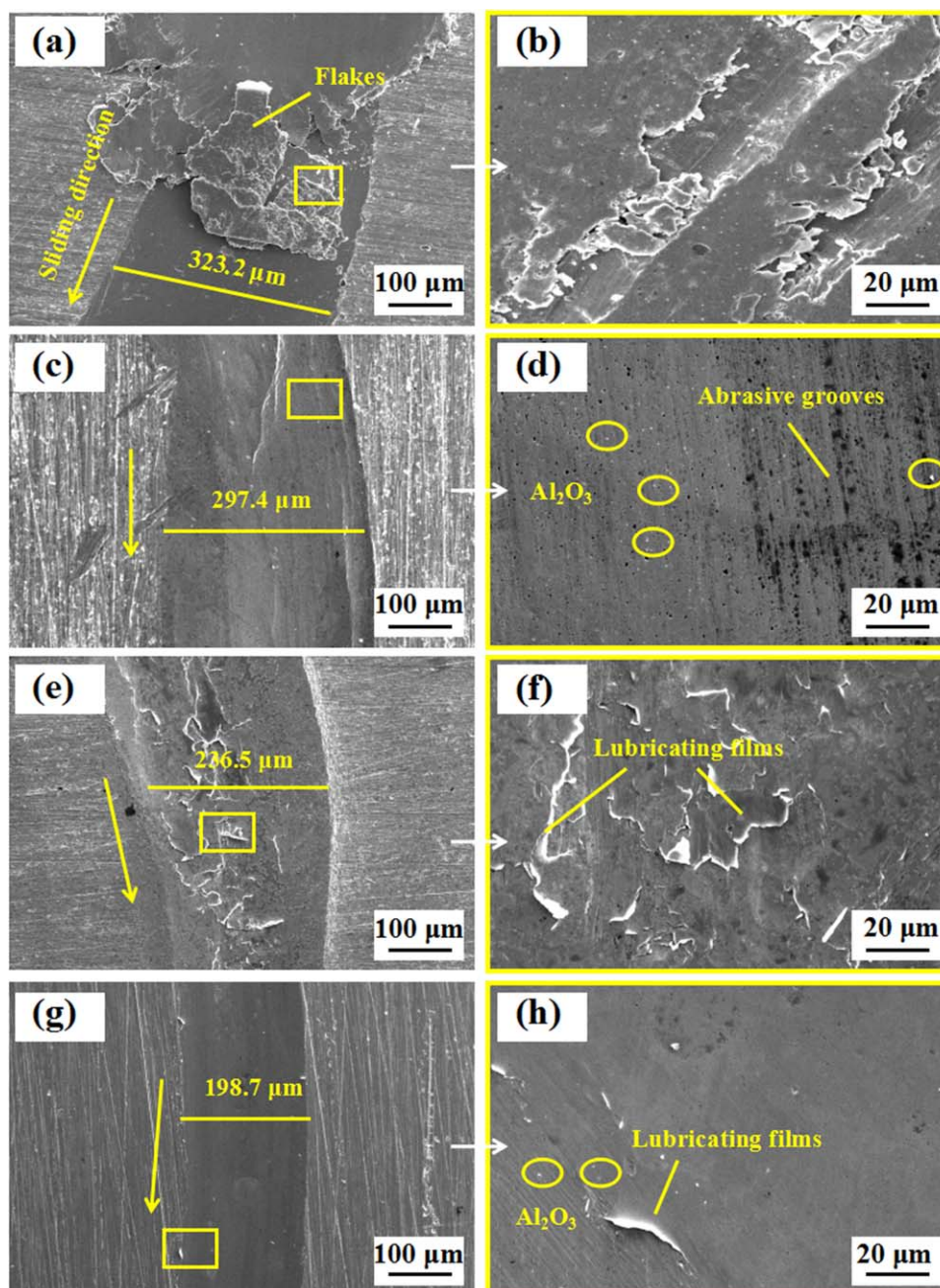
empirical Archards' model [23, 24], the wear resistance of composites is proportional to their hardness. As mentioned above, the incorporation of Al<sub>2</sub>O<sub>3</sub> can significantly increase the hardness of composites, and therefore enhancing the wear resistance of the Cu-0.5Al<sub>2</sub>O<sub>3</sub> composite. More importantly, the wear rate of the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite can further decrease due to the lubricating effect of CNTs. During friction and wear process, the matrix is worn off first, and CNTs are exposed on the worn surface to generate a solid lubricant film. This effectively decreases the contact area between the copper matrix and counterpart, protecting the copper matrix from severe damage. Therefore, the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite shows the best tribological properties due to the synergistic effects of Al<sub>2</sub>O<sub>3</sub> and CNTs.

As an example of the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite, figure 8(a) illustrates variation of the average friction coefficient and wear rate under different applied loads. Obviously, the friction coefficient as well as wear rate increases with load. This is in agreement with some early reports that the metal matrix composites have poor friction and wear performances at high loads [25–29]. During the sliding process, the asperities on the tribosurface of the composites are plastically extruded, fatigue damaged and micro-cut by the counterpart. At higher loads, it is tend to occur larger plastic deformation and increase the depth of asperities penetration, resulting in more serious abrasive wear. Figure 8(b) shows changes of an average friction coefficient and wear rate under different sliding speeds. Similar to figure 8(a), the friction coefficient and wear rate exhibit analogous changes, and increase with sliding speed. It is attributed to the fragmentation of existing solid lubricating film at higher sliding speed. The fragmented solid films could accumulate at the sliding surfaces, leading to a higher friction coefficient. Meanwhile, the high sliding speed would increase the surface friction temperature and expand the contact area between sliding surfaces, causing a severe abrasion and high wear rate.

### 3.4. Evaluation of worn surfaces

Figure 9 shows the morphology of worn surfaces of copper and its composites after dry friction at a load of 3 N and sliding speed of 0.094 m s<sup>-1</sup>. It is shown that severe plastic deformation and many plows are found on the worn surface of pure Cu (figures 9(a) and (b)), especially for some large flakes. This indicates that the wear mechanism is adhesive wear and plastic deformation, and is correspond to the above analysis that pure Cu has the highest wear rate. With the incorporation of Al<sub>2</sub>O<sub>3</sub>, the deep grooves and large plastic deformation are mitigated. The worn surface of the Cu-0.5Al<sub>2</sub>O<sub>3</sub> composite is characterized by a relatively smooth surface, while some deep abrasive grooves are also present (figures 9(c) and (d)). Hard Al<sub>2</sub>O<sub>3</sub> particles reinforce the copper matrix and impede the severe plastic deformation of the soft copper matrix. Nevertheless, some Al<sub>2</sub>O<sub>3</sub> particles may get dislodged from their original microstructure sites during rubbing. Then, they can serve as abrasive particles to abrade the copper matrix surface as well as the counterpart, leaving some deep parallel grooves. Moreover, the tribological properties can be further improved by the incorporation of CNTs. Figures 9(f) and (h) exhibit some lubricating films on the worn surface of the Cu-1.5CNTs composite, but they are exfoliated and intermittent due to the lower hardness of the composite. These lubricating films play an important role in decreasing the friction coefficient and wear rate of the composites under dry friction. On the worn surface shown in figure 9(h), the continuous and uniform lubricating films can largely restrict the plowing effect and preserve the copper matrix from serious abrasion. On the other hand, the lowest wear track width of 198.7 μm also reveals the best wear resistance of the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite compared with other composites.





**Figure 9.** SEM images of worn surfaces of copper and its composites after dry friction at a load of 3 N, sliding speed of  $0.094 \text{ m s}^{-1}$ : (a) pure Cu, (b) Cu-0.5Al<sub>2</sub>O<sub>3</sub>, (c) Cu-1.5CNTs, (d) Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub>.

#### 4. Conclusions

Copper matrix composites reinforced with CNTs and Al<sub>2</sub>O<sub>3</sub> were successfully synthesized using a powder metallurgy route, and their microstructure, density, mechanical strength and tribological properties were studied. The following conclusions are made:

- (1) CNTs and Al<sub>2</sub>O<sub>3</sub> are successfully mixed with copper powders by acid treatment and mechanical milling. After sintering, these CNTs and Al<sub>2</sub>O<sub>3</sub> distribute uniformly around the grain boundaries and reduce the grain size of the Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite to  $4 \mu\text{m}$ .
- (2) Compared to the copper matrix, copper matrix composites with CNTs and Al<sub>2</sub>O<sub>3</sub> have decreased density, but increased hardness and tensile strength.
- (3) The tribological properties of the copper matrix are improved significantly with the incorporation of Al<sub>2</sub>O<sub>3</sub> and CNTs. The Al<sub>2</sub>O<sub>3</sub> with high strength enhance the wear resistance and CNTs serving as solid lubricant

improve the anti-frictional properties. The friction coefficient as well as wear rate increase with the load and sliding speed.

- (4) The Cu-1.5CNTs-0.5Al<sub>2</sub>O<sub>3</sub> composite displays excellent mechanical and tribological properties, such as 131 HV hardness, 345 MPa tensile strength, 0.155 friction coefficient and  $3.7 \times 10^{-14} \text{ m}^3 \text{ N}^{-1} \cdot \text{m}^{-1}$  wear rate under constant condition (3 N, 0.094 m s<sup>-1</sup>, 10 min).

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